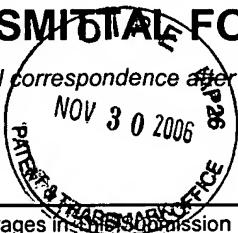
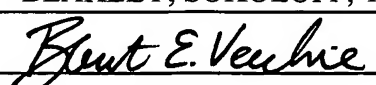



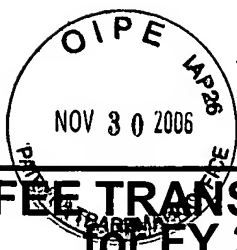
JW

|  |    |                        |                    |
|--|----|------------------------|--------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing)<br> |    | Application No.        | 10/676,738         |
|  |    | Filing Date            | September 30, 2006 |
|  |    | First Named Inventor   | Michael J. Leeson  |
|  |    | Art Unit               | 2818               |
|  |    | Examiner Name          | David Nhu          |
| Total Number of Pages in this Submission   | 14 | Attorney Docket Number | 42P17288           |

| ENCLOSURES (check all that apply)  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> PTO/SB/08<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Basic Filing Fee<br><input type="checkbox"/> Declaration/POA<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s)<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 10px;">         Transmittal of Formal Drawings       </div> |
| Remarks  |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Brent E. Vecchia, Reg. No. 48,011<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP         |
| Signature                                  |  |
| Date                                       | November 27, 2006   |

| CERTIFICATE OF MAILING/TRANSMISSION  |   |      |                   |
|--|---|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |   |      |                   |
| Typed or printed name  | Pat Sullivan  |      |                   |
| Signature  |  | Date | November 27, 2006 |



# FEE TRANSMITTAL 16 FY 2005

Patent fees are subject to annual revision.

Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/676,738         |
| Filing Date          | September 30, 2006 |
| First Named Inventor | Michael J. Leeson  |
| Examiner Name        | David Nhu          |
| Art Unit             | 2818               |
| Attorney Docket No.  | 42P17288           |

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath                              |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet.          |          |
| 2053         | 130      | 2053         | 130      | Non-English specification  |          |
| 1251         | 120      | 2251         | 60       | Extension for reply within first month                           |          |
| 1252         | 450      | 2252         | 225      | Extension for reply within second month                          |          |
| 1253         | 1,020    | 2253         | 510      | Extension for reply within third month                           |          |
| 1254         | 1,590    | 2254         | 795      | Extension for reply within fourth month                          |          |
| 1255         | 2,160    | 2255         | 1,080    | Extension for reply within fifth month                           |          |
| 1401         | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402         | 500      | 2402         | 250      | Filing a brief in support of an appeal                           |          |
| 1403         | 1,000    | 2403         | 500      | Request for oral hearing   |          |
| 1451         | 1,510    | 2451         | 1,510    | Petition to institute a public use proceeding                    |          |
| 1460         | 130      | 2460         | 130      | Petitions to the Commissioner                                    |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)                              |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                        |          |
| 1809         | 790      | 1809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))    |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b)) |          |

Other fee (specify) \_\_\_\_\_

SUBTOTAL (2) (\$)

## SUBMITTED BY

|                   |                  |                                      |        |           |                |
|-------------------|------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Brent E. Vecchia | Registration No.<br>(Attorney/Agent) | 48,011 | Telephone | (303) 740-1980 |
| Signature         |                  |                                      |        | Date      | 11/27/06       |



DOCKET NO.: 42P17288

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MICHAEL J. LEESON

Application No.: 10/676,738

Filed: September 30, 2006

For: **METHOD OF REDUCING THE SURFACE  
ROUGHNESS OF SPIN COATED  
POLYMER FILMS**

Art Group: 2818

Examiner: David Nhu

Date of mailing of PTOL 85 entitled  
"Notice of Allowance and Fee(s) Due"  
September 14, 2006

Confirmation No. 7952

**Mail Stop ISSUE FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 10 sheets including 19 Figures. The three month period of response set in the Notice of Allowability (PTOL 37) expires on December 14, 2006. This submission is on or before this expiration date. Please charge any additional extension or petition fees under 37 C.F.R. §1.17 or credit for any overpayment to our Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal sheet is enclosed.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: November 27, 2006

Brent E. Vecchia, Reg. No. 48,011

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (303) 740-1980

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Pat Sullivan

11/27/06

Date